

## Electronic Acknowledgement Receipt

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| <b>EFS ID:</b>                              | 4000779  |
| <b>Application Number:</b>                  | 09683919   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 3793   |
| <b>Title of Invention:</b>                  | Device and system for allowing secure identification of an individual when accessing information and a method of use |
| <b>First Named Inventor/Applicant Name:</b> | Larry Lawson Jones   |
| <b>Customer Number:</b>                     | 73319  |
| <b>Filer:</b>                               | John Patrick Ward/Anne Collette  |
| <b>Filer Authorized By:</b>                 | John Patrick Ward  |
| <b>Attorney Docket Number:</b>              | 076706-201701/US   |
| <b>Receipt Date:</b>                        | 24-SEP-2008  |
| <b>Filing Date:</b>                         | 01-MAR-2002  |
| <b>Time Stamp:</b>                          | 19:58:16   |
| <b>Application Type:</b>                    | Utility under 35 USC 111(a)  |

### Payment information:

|  |                 |
|--|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$405           |
| RAM confirmation Number                  | 10050           |
| Deposit Account                          | 502638          |
| Authorized User                          |                 |

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## File Listing:

| Document Number | Document Description                    | File Name               | File Size(Bytes)/Message Digest                         | Multi Part/.zip | Pages (if appl.) |
|-----------------|---|-------------------------|---|-----------------|------------------|
| 1               | Request for Continued Examination (RCE) | 076706-201701US_RCE.pdf | 664050<br>5ae01252a2adbf7d7699a4fa14867c2f61b2<br>59662 | no              | 3                |

### Warnings:

### Information:

| 2  |  | 076706-201701US_Response.pdf | 123915                                     | yes | 9 |  |  |
|--|--|------------------------------|--|-----|---|--|--|
|  |  |                              | 3148d7d93089e7c44febaa79d593aef0f0<br>62aa |     |   |  |  |
| <b>Multipart Description/PDF files in .zip description</b> |  |                              |  |     |   |  |  |
| Document Description                                       |  | Start                        | End  |     |   |  |  |
| Amendment Submitted/Entered with Filing of CPA/RCE         |  | 1                            | 1  |     |   |  |  |
| Claims   |  | 2                            | 4  |     |   |  |  |
| Applicant Arguments/Remarks Made in an Amendment           |  | 5                            | 9  |     |   |  |  |

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|---|-------------------------------|----------------------------|---|----|---|
| 3 | Miscellaneous Incoming Letter | 076706-201701US_Notify.pdf | 130406<br>0821700817e60fc759298baef08fc6c3648c<br>aa05b | no | 9 |
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| 4 |  | 076706-201701US_IDS.pdf | 1097404                                    | yes | 7 |
|   |  |                         | 10e1459551e4821e65a881120a8544f4b<br>71cb2 |     |   |

### Multipart Description/PDF files in .zip description

| Document Description                                 |  | Start | End |  |  |
|--|--|-------|-----|--|--|
| Information Disclosure Statement (IDS) Filed (SB/08) |  | 1     | 5   |  |  |
| Information Disclosure Statement Letter              |  | 6     | 7   |  |  |

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|---|-------------------|-----------------|---|----|----|
| 5 | Foreign Reference | CN_1294457A.pdf | 858871                                      | no | 19 |
|   |                   |                 | 9cc94be00034edfb510f720a276c56956c1<br>51d2 |    |    |

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| <b>Information:</b> |                   |                            |  |    |    |
| 6                   | Foreign Reference | EP_0471538A2.pdf           | 74625<br>c94f988816ef803dcbb6e02e67121027c5b<br>5640       | no | 9  |
| <b>Warnings:</b>    |                   |                            |  |    |    |
| <b>Information:</b> |                   |                            |  |    |    |
| 7                   | Foreign Reference | JP_09204330.pdf            | 2955709<br>9a6c2743c2450a6a6efc00b608c79ef6ff<br>984       | no | 21 |
| <b>Warnings:</b>    |                   |                            |  |    |    |
| <b>Information:</b> |                   |                            |  |    |    |
| 8                   | Foreign Reference | JP_2005020105.pdf          | 2133346<br>e0f05ad40053440b6ef8b41045fbn0fb24b6f<br>d92127 | no | 15 |
| <b>Warnings:</b>    |                   |                            |  |    |    |
| <b>Information:</b> |                   |                            |  |    |    |
| 9                   | Foreign Reference | KR_20010073358.pdf         | 405468<br>97ac94831d5f05c18d83904d2cb74a51e<br>e9863       | no | 15 |
| <b>Warnings:</b>    |                   |                            |  |    |    |
| <b>Information:</b> |                   |                            |  |    |    |
| 10                  | Foreign Reference | WO2000055736.pdf           | 657924<br>79fa0946eb7bb6f5f800c1cc0e816732a/c<br>4f        | no | 18 |
| <b>Warnings:</b>    |                   |                            |  |    |    |
| <b>Information:</b> |                   |                            |  |    |    |
| 11                  | NPL Documents     | PCT-US08-051821_ISR_WO.pdf | 1623178<br>2eb6fc7c959c1a6e638a67b936981cc<br>143f         | no | 14 |
| <b>Warnings:</b>    |                   |                            |  |    |    |
| <b>Information:</b> |                   |                            |  |    |    |
| 12                  | NPL Documents     | PCT-US08-052100_ISR_WO.pdf | 1234968<br>9cad3b4aee21ba7c1d4e3a61f1d8fc5e696e<br>38e4    | no | 12 |
| <b>Warnings:</b>    |                   |                            |  |    |    |
| <b>Information:</b> |                   |                            |  |    |    |
| 13                  | NPL Documents     | PCT-US07-084446_ISR_WO.pdf | 1439024<br>03aa895a09521b47846aa56b515510217<br>2bb4       | no | 11 |
| <b>Warnings:</b>    |                   |                            |  |    |    |
| <b>Information:</b> |                   |                            |  |    |    |
| 14                  | NPL Documents     | PCT-US08-052107_ISR_WO.pdf | 778721<br>62690c8576211a6fa02081236743ce10<br>49ab4        | no | 7  |

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| <b>Information:</b>  |                        |   |  |          |   |  |  |  |
| 15   |                        | 076706-201701US_Transaction<br>_Histories.pdf | 93351<br>a0d93c0fc001df9f9e475cebfb4ee5e3405<br>d202 | yes      | 7 |  |  |  |
| <b>Multipart Description/PDF files in .zip description</b> |                        |   |  |          |   |  |  |  |
| <b>Document Description</b>                                |                        | <b>Start</b>                                  | <b>End</b>   |          |   |  |  |  |
| NPL Documents  |                        | 1   | 1  |          |   |  |  |  |
| NPL Documents  |                        | 2   | 2  |          |   |  |  |  |
| NPL Documents  |                        | 3   | 3  |          |   |  |  |  |
| NPL Documents  |                        | 3   | 3  |          |   |  |  |  |
| NPL Documents  |                        | 5   | 5  |          |   |  |  |  |
| NPL Documents  |                        | 6   | 6  |          |   |  |  |  |
| NPL Documents  |                        | 7   | 7  |          |   |  |  |  |
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| <b>Information:</b>  |                        |   |  |          |   |  |  |  |
| 16   | Fee Worksheet (PTO-06) | fee-info.pdf                                  | 30318<br>615a6c0289785ec5d8a6d7b178d515e5f6<br>6fa8  | no       | 2 |  |  |  |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.